

LEO SHUMACHER, Individually and on Behalf of All Others Similarly Situated,	:	SUPERIOR COURT OF NEW JERSEY
	:	LAW DIVISION: SOMERSET COUNTY
	:	DOCKET NO. SOM-L-000540-19
Plaintiff,	:	(Consolidated)
	:	CIVIL ACTION
vs.	:	
OSMOTICA PHARMACEUTICALS PLC, et al.,	:	
	:	
Defendants.	:	

JEFFREY TELLO and JASON GELLATI, Individually and on Behalf of All Others Similarly Situated,	:	SUPERIOR COURT OF NEW JERSEY
	:	LAW DIVISION: SOMERSET COUNTY
	:	DOCKET NO. SOM-L-617-19
Plaintiffs,	:	
	:	
vs.	:	
OSMOTICA PHARMACEUTICALS PLC, BRIAN MARKISON, ANDREW EINHORN, DAVID BURGSTAHLER, SRIRAM VENKATARAMAN, CARLOS SIELECKI, JUAN VERGEZ, JEFFERIES LLC, BARCLAYS CAPITAL INC., RBC CAPITAL MARKETS, LLC, and WELLS FARGO SECURITIES, LLC,	:	
	:	
Defendants.	:	

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SUPPLEMENTAL CERTIFICATION OF ROSS D. MURRAY REGARDING NOTICE
DISSEMINATION AND REQUESTS FOR EXCLUSION RECEIVED TO DATE

I, ROSS D. MURRAY, hereby certify as follows:

1. I am employed as a Vice President of Securities by Gilardi & Co. LLC (“Gilardi”), located at 1 McInnis Parkway, Suite 250, San Rafael, California. Pursuant to this Court’s June 11, 2021 Order Preliminarily Approving Settlement and Providing for Notice (“Notice Order”), Gilardi was appointed to supervise and administer the notice procedure as well as the processing of claims in connection with the proposed Settlement of the above-captioned action (the “Action”).¹ I oversaw the notice services that Gilardi provided in accordance with the Notice Order.

2. I submit this certification as a supplement to my earlier certification, the Certification of Ross D. Murray Regarding Notice Dissemination, Publication, and Requests for Exclusion Received to Date (the “Initial Mailing Certification”). The following statements are based on my personal knowledge and information provided to me by other Gilardi employees and if called to testify I could and would do so competently.

UPDATE ON DISSEMINATION OF THE CLAIM PACKAGE

3. As more fully detailed in the Initial Mailing Certification, as of August 16, 2021, Gilardi had mailed 7,048 copies of the Court-approved Notice of Pendency and Proposed Settlement of Class Action (the “Notice”) and Proof of Claim and Release form (the “Proof of Claim”) (collectively, the “Claim Package”) to potential Settlement Class Members and their nominees. *See* Initial Mailing Certification, ¶11.

4. Since August 16, 2021, Gilardi has mailed an additional 7,821 copies of the Claim Package in response to requests from potential Settlement Class Members, brokers, and nominees and as a result of mail returned as undeliverable for which new addresses were identified and re-mailed to those new addresses. Therefore, as of November 1, 2021, Gilardi has mailed a total of 14,869 Claim Packages to potential Settlement Class Members and nominees.

¹ All capitalized terms that are not otherwise defined herein shall have the same meanings provided in the Stipulation of Settlement dated May 14, 2021 (the “Stipulation”).

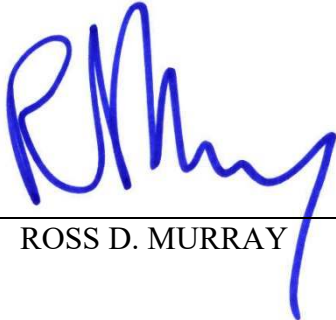
REQUESTS FOR EXCLUSION RECEIVED TO DATE

5. Pursuant to the Notice Order, the Notice informed potential Settlement Class Members that written requests for exclusion from the Settlement Class must be mailed to *Osmotica Securities Settlement*, c/o Gilardi & Co. LLC, EXCLUSIONS, 150 Royall Street, Suite 101, Canton, MA 02021, such that they are postmarked no later than August 31, 2021. At the time of the Initial Mailing Certification, Gilardi had not received any requests for exclusion from the Settlement Class at this mailing address. See Initial Mailing Certification, ¶16.

6. Since the Initial Mailing Certification was executed, and as of the date of this certification, Gilardi has not received any requests for exclusion.

I certify that the foregoing statements made by me are true to the best of my knowledge and belief. I am aware that if the foregoing statements made by me are willfully false, I am subject to punishment.

Dated: November 1, 2021.



ROSS D. MURRAY